

Vontobel Fund (CH) – Investor declaration

The Vontobel Fund (CH) is a contractual umbrella fund governed by Swiss law in the category of "other funds for traditional investments". The circle of investors for the class "IA" is restricted to qualified investors, pursuant to Art. 10 Paras. 3 and 4 of the KAG in relation to Art. 6 KKV.

Name of the nominee/investor _____

Address _____

Contact person _____ Container _____

Phone _____ E-Mail _____

The investor hereby declares that they or their client is qualified as one of the following "qualified investors" (**tick the appropriate investor function**) and undertakes to give notice of any relevant modifications.

Investor function

- a) supervised financial intermediaries such as banks, securities brokers and fund managers;
- b) supervised insurance institutions;
- c) public bodies and pension funds with a professional treasury;
- d) companies with a professional treasury;
- e) investors who have concluded a written asset management contract with a financial intermediary pursuant to item a);

- f) wealthy private individuals who have concluded a written asset management contract with an independent asset manager, insofar as:
 - as a financial intermediary, the asset manager is subject to the law on money laundering.
 - the asset manager is subject to the rules of conduct of a sector organisation, which are recognised as minimal standards by the supervisory authority.
 - the asset management contract corresponds to the recognised guidelines of a sector organisation;
- g) wealthy private individuals who at the time of subscription, declare to a supervised financial intermediary pursuant to item a) or b) or to an independent asset manager that they hold financial assets of at least CHF 2 million either directly or indirectly.

The investor may not assign the shares but must return them to the fund on surrender. If no such surrender takes place, the managers of the fund may undertake a forced surrender of the shares, in collaboration with the depository bank.

Place, Date

Signature of the investor or Depository of the investor
